



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANTS:** 

Strominger et al.

SERIAL NO.:

08/991,628

GROUP NO.:

1644

FILING DATE:

November 5, 1997

**EXAMINER:** 

T. Cunningham

TITLE:

IDENTIFICATION OF SELF AND NON-SELF ANTIGENS IMPLICATED

IN AUTOIMMUNE DISEASE

### CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231, on this 10th day of February, 2000.

Date of Signature

Brenda T. Kowalczuk

Assistant Commissioner for Patents Washington, D.C. 20231

FEB 1 7 2000

Sir:

TECH CENTER 1600/2900

Submitted herewith are:

Transmittal Form (1 pg.); Supplemental Information Disclosure Statement (2 pgs.); Supplemental PTO-1449 (1 pg.); Cited art C8; and a mailroom postcard and this Certificate of First Class Mailing.

BRESNAHA6615/3.941547-1



# TRANSMITTAL FORM

Application Serial No.:	08/991,628
Filing Date:	November 5, 1997
First Named Inventor	Strominger et al.
Group Art Unit	1644
Examiner Name	T. Cunningham
Attorney Docket No.	HAR-001DV (6615/3)

	ENCLOSURES (check all that apply)							
F	ee Transmittal Form		Copy of Notice	to File Missing ation (PTO-1553)		Appeal Communication to Board of Patent Appeals and Interferences		
	☐ Check Attached ☐ Copy of Fee Transmittal Form		Formal Drawin	g(s)		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)		
	Amendment/Response		and Accompany Convert to a Pro-			Status Letter		
	☐ After Final		Application		$\boxtimes$	Return Receipt Postcard		
☐ Affidavits/declaration(s) ☐ Letter to Official ☐ Draftsperson including Drawings					⊠	Certificate of First Class Mailing under 37 C.F.R. 1.8		
	[Total Sheets]		Terminal Discla	nimer		Additional Enclosure(s) (please identify below)		
	Extension of Time Request		Executed Declar Attorney for Ut Patent Applicat			(picture inclinity below)		
⊠ ⊠	Supplemental Information Disclosure Statement Supplemental Form PTO-1449		Small Entity Sta	atement				
☒	Copies of IDS Citations		Request for Ref	und		FFB 1 7 2000		
	,, , , ,		After Allowanc to Group	e Communication		TECH CENTER 1609/9990		
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COR	RESPONDENCE ADDRESS	<u> </u>		SIGNATURE BL	OCK	<u></u>		
Direct all correspondence to:  Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100			Respectfully submitted,  Date: Frburn, 10, 200  Reg. No. 44,559 Tel. No.: (617) 248-7103 Fax No.: (617) 248-7100  Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110					

BRESNAHA6615/3.941541-1

Attorney Docket No. HAR-001DV (6615/3)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

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#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. § 1.97, Applicants hereby make of record the references listed on the accompanying PTO Form 1449 for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the references are enclosed.

#### **REMARKS**

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed (CHECK

ONE):		
	(1)	within three (3) months of the Filing Date or before the mailing date of the First Office Action on the merits; or
$\boxtimes$	(2)	after the period defined in (1) but before the mailing date of a Final Rejection or Notice of Allowance, and
	$\boxtimes$	the requisite Statement is below, <b>OR</b>
		the requisite fee under Rule 1.17(p), namely \$240.00, is included herein, or
	(3)	after the mailing date of a Final Rejection or Notice of Allowance but before the payment of the Issue Fee, AND
		Applicant hereby Petitions the Commissioner to accept and consider the attached Information Disclosure Statement, AND
		the requisite Statement is below, AND

Information Disclosure Statement

Serial No.: 08/991,628

Page 2 of 2



the requisite petition fee due under Rule 1.17(i)(I), namely \$130.00 is included herein.

It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application.

#### **STATEMENT**

As required under §1.97(e), Applicants, through the undersigned, hereby state either that [check the appropriate space]:

- 1. [E]ach item of information contained in the Information Disclosure

  Statement was cited in a communication from a foreign patent office in a
  counterpart foreign application **not more than** three months prior to the
  filing date of the Information Disclosure Statement; or
- 2. [N]o item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

#### **FEE AUTHORIZATION**

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 20-0531. Any overpayments should be credited to said Deposit Account.

Respectfully submitted.

Date: February 10, 2000
Reg No. 44 559

Tel. No.: (617) 248-7103 Fax No.: (617) 248-7100

BRESNAHA6615/3.941534-1

Man a. Kelsna

Maureen A. Bresnahan Attorney for Applicants

Testa, Hurwitz, & Thibeault, LLP

High Street Tower 125 High Street

Boston, Massachusetts 02110

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FORM PTO - 1449					ATTORNEY DOCKET NO.: HAR-001DV						
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT				OSURE	APPLICANTS: Strominger et al.  SERIAL NO.: 08/991,628						
					SERIAL I	NO.: U8/	991,628				
					FILING DATE: November 5, 1997						
					GROUP: 1644						
	U.S. PATENT DOCUMENTS										
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	-		1 1 1		4	FILING DATE IF APPROPRIATE	
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		C	THER ART	, JOURNA	AL ARTIO	CLES, E	TC.				
EXAM. OTHER DOCUMENTS: (Including Author, Title, Place of Publication, Relevant Pages, Date) INIT.											
2/25/0	C8 Hogenkamp et al., "Nucleotide Sequence of the Right 10% of Adenovirus Type 12 DNA Encoding the Entire Region E4", Nucleic Acids Research, Vol. 18, No. 10, April 1990, p. 3065.										
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